### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE

Patent No. 6,331,592

Patented: December 18, 2001

On Petition requesting issuance of a certificate for correction of inventorship pursuant to 35 U.S.C. 256, it has been found that the above identified patent, through error and without deceptive intent, improperly sets forth the inventorship. Accordingly, it is hereby certified that the correct inventorship of this patent is:

Robert Benham Fish, Jr.

Chun Sing Wong

James J. Seidleck SPE AU 1711 HOV 2 8 2003 5

ćtitioner's Docket No.

DC4511

**PATENT** 

14-23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent:

6,331,592

Date of Issue:

December 18, 2001

Name of Patentee:

Chun Sing Wong

Title of Invention:

NON-MASSING TOUGHENERS FOR POLYAMIDES

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

## PETITION FOR CORRECTION OF INVENTORSHIP OF PATENT (37 C.F.R. § 1.324)

NOTE: The petition should be directed to the Supervisory Patent Examiner whose unit handles the subject matter of the patent. See § 1481 of the M.P.E.P. (7th ed.).

1. This is a petition for correction of error in a

(check the appropriate item below)

misjoinder

🗓 nonjoinder

of inventor(s) in the above issued patent. It is respectfully requested that the PTO issue a certificate correcting the error.

- 2. Enclosed herewith is (37 C.F.R. § 1.324(b)):
  - A. 

    a statement from each person who is being added as an inventor and/or
    - a statement from each person who is being deleted as an inventor that the inventorship error occurred without any deceptive intention on his or her part.
  - B. A statement from the current named inventors who have not submitted a statement under A. above:
    - agreeing to the change of inventorship

or

stating that there is no disagreement in regard to the requested change.

3. (if the patent is assigned, check the following)

NOTE: The assignee of each person who is being added or deleted and from the current inventor(s) who are not being added or deleted as inventor must file a consent (complying with the requirements of § 3.73(b)) to the change of inventorship. 37 C.F.R. § 1.324(b)(3).

- Also enclosed is the written consent of the assignee.
- A statement under 37 C.F.R. § 3.73(b), establishing right of assignee to take action, is also enclosed.

12/02/2003 AMUNDAF1 00000127 041928 6331592

01 FD:1450

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(Petition For Correction of Inventorship of Patent [14-6]—page 2EIVED

1			
. The fee required (37	C.F.R. § 1.20(b)-\$130.00	)) is paid as follows:	
☐ Attached is a	□ check □ money order	r in the amount of \$	
🖎 Authorization	is hereby made to charge	e the amount of \$ 130.00	
🛚 to Depos	it Account No. 04-1928		
☐ to Credit	card as shown on the atta	ached credit card information aut	horiza-
		d on this form as it may become public.	<i>)</i>
Charge any a in the manne	idditional fees required bir authorized above.	y this paper or credit any overp	ayment
A duplicate of this	s paper is attached.	•	
			·
•			
		•	
		Wabut & Stevenson	
	ड	IGNATURE OF PRACTITIONER	<del></del>
Reg. No.: 26,0		Robert B. Stevenson	
	(t	type or print name of practitioner) E. I. du Pont de Nemour	s and Company
Tel. No.: ( 302 ) 992	-6824	Legal, Barley Mill Plaz	a 25/1128
•	F	P.O. Address	
Customer No.: 2390	6	4417 Lancaster Pike Wilmington, DE 19805 U	SA
Castornor 140		<b>2</b>	

(Petition For Correction of Inventorship of Patent [14-6]—page 2 of 2)

14-24

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent:

6,331,592

Application No.:

09/530,673

Date of Issue:

December 18, 2001

Name of Patentee:

Chun Sing Wong

Title of Invention:

NON-MASSING TOUGHENERS FOR POLYAMIDES

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

### STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT

(37 C.F.R. § 1.324(b)(1))

NOTE: Nothing more than a simple statement is required. The examiner will determine only whether the statement contains the required language and will not make any comment as to whether or not it appears that there was in fact a deceptive intention. M.P.E.P., § 1481, 7th Edition.

I, the person who is being added as an inventor by the petition being submitted to correct the inventorship of this patent do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part.

Robert Benham Fish, Jr.

Signature Robert Benham Fish,

(Statement of Non-deceptive Intent by Person Being Added by Petition to Change Inventorship in Patent [14-9])

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent:

6,331,592

Application No.:

09/530,673

Date of Issue:

December 18, 2001

Name of Patentee:

Chun Sing Wong

Title of Invention:

NON-MASSING TOUGHENERS FOR POLYAMIDES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT AGREEING TO CHANGE OF INVENTORSHIP IN PATENT BY CORRECTLY NAMED INVENTORS

 $(37 \text{ C.F.R.} \S 1.324(b)(2))$ 

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the inventorship of this patent:

X	1	agree	to	the	change	of	inventorship	of	this	patent.

I have no	disagreement	in regard to	the requested	change o	f inventorship	of	this
patent.							

Robert Benham Fis	sh, Jr.
Type name of inventor being	added)
Chur Si	i long
SIGNATURE Chun Sing	Wong

(Type name of inventor being added)

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SIGNATURE

DEC 0.3 2003

(Statement Agreeing to Change of Inventorship in Patent by Correctly Named Inventors [14-12])

Practitioner's Docket No.

DC4511

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent:

6,331,592

Date of Issue:

December 18, 2001

Name of Patentee:

Chun Sing Wong

Title of Invention:

NON-MASSING TOUGHENERS FOR POLYAMIDES

**Commissioner for Patents** 

P.O. Box 1450, Alexandria, VA 22313-1450

#### CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN PATENT (37 C.F.R. § 1.324(b)(3))

NOTE: The assignee of each person who is being added or deleted and from the current inventor(s) who are not being added or deleted as inventor must file a consent to the change of inventorship. 37 C.F.R. § 1.324(b)(3).

E. I. du Pont de Nemours and Company

(type or print name of assignee)

owner by assignment of the above patent,

(check and complete the applicable item below)

- in the assignment being recorded concurrently herewith,
- in the assignment recorded in the PTO on  $\frac{10/12/2001}{10/12/2001}$

0593 Frame .

012058 Reel

hereby consents to the amendment of the inventorship of this patent as requested

- in the accompanying papers.
- in the papers mailed to the PTO on \_

(complete the following, if applicable)

Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case.

Date: NN. 24, 2003

PATENT BOARD ASST. SECRETARY -

Title of signatory if signing on behalf of an entity

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OFFICE OF PETITIONS

(Consent of Assignee to Change of Inventorship in Patent [14-7]-page 1 of 1)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b) E. I. du Pont de Nemours and Company Applicant/Patent Owner: 2001 Filed/Issue Date: December 18, Application Md./Patent No.: 6,331,592 Entitled: NON-MASSING TOUGHENERS FOR POLYAMIDES corporation of Delaware E. I. du Pont de Nemours and Company (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: 1. 🔯 the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012058, Frame 0593, or for which a copy thereof is attached. OR B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: · To: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. Reel , Frame To: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. Reel To: 3. From: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. [ ] Additional documents in the chain of title are listed on a supplemental sheet. ] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. KOGER SST. SECRETARY

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

(Statement under 37 C.F.R. 3.73(b) (PTO/SB/96) [16-16.1]-page 1 of 1)

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OCTOBER 15, 2001

PTAS

E.I. DU PONT DE NEMOURS AND COMPANY ROBERT B. STEVENSON LEGAL-PATENTS 1007 MARKET STREET WILMINGTON, DE 19898



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OCT 2 3 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT PATENT RECORDS

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE. ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/12/2001

REEL/FRAME: 012058/0593

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FISH, ROBERT BENHAM, JR.

DOC DATE: 08/08/2000

ASSIGNOR:

WONG, CHUN SING

DOC DATE: 08/17/2000

ASSIGNEE:

E.I. DU PONT DE NEMOURS AND COMPANY 1007 MARKET STREET LEGAL PATENTS

WILMINGTON, DELAWARE 19898

SERIAL NUMBER: 09530673 PATENT NUMBER:

FILING DATE: 06/21/2000

ISSUE DATE:

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OFFICE OF PETITIONS

012058/0593 PAGE 2

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



## UNITED STATE DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231



AUTHORIZATION TO CHARGE ADDITIONAL FEES TO DEPOSIT ACCOUNT

OCTOBER 15, 2001

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FROM:

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OFFICE OF PUBLIC RECORDS

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CHARGE THE DEPOSIT ACCOUNT LISTED BELOW ADDITIONAL FEE(S) AS INDICATED BELOW. AUTHORIZATION TO CHARGE ADDITIONAL FEES HAS BEEN PROVIDED PER THE ATTACHED COVER SHEET, OR BY DIRECT CONTACT WITH THE CUSTOMER.

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STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

TRADEMARK SERVICE FEES:	FEE CODE:
RECORDING FIRST MARK RECORDATION SECOND AND SUBSEQUENT MARK IN SAME DOCUMENT	481 482
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OR FRACTION THEREOF UNSPECIFIED OTHER SERVICES	485
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LABOR CHARGES FOR SERVICES PER HOUR	584
(\$30) OR FRACTION THEREOF UNSPECIFIED OTHER SERVICES	585

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## 10-12-2001



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

9-12-01	
To the Honorable Commissioner of Patent 101	original documents or copy thereof
To the Honorable Commissioner of Patent  Submission Type:  New Resubmission (Non-Recordation) Document ID# Correction of PTO Error Reel # Frame # Corrective Document Reel # Frame # Data Element in Question:  1 Fish, Robert Benham, Jr. 2 Wong, Chun Sing	Assignment Security Agreement License Change of Name Merger Other  US. GOVERNMENT (For Use ONLY by U.S. Government Agencies) Departmental File Secret File Total No. of pages of conveyance document including attachments  Name and address of receiving party(ies):  E. I. DU PONT DE NEMOURS AND COMPANY LEGAL PATENTS 1007 MARKET STREET WILMINGTON, DELAWARE 19898
Additional name(s) of conveying party(ies) attached?:  Yes No  Execution Date: \(^18/8/2000\) \(^28/17/2000\)  5. Application number(s) or patent number(s):	Additional name(s) & address(es) attached? Yes No.
If this document is being filed together with a new applic  A. Patent Application No.(s): 09/530,673 - PCT/CA98/  Docket No.: DC-4511  Additional numbers	Çħ -
6. Correspondent Name & Address:  ROBERT B. STEVENSON E. I. DU PONT DE NEMOURS AND COMPANY LEGAL-PATENTS 1007 MARKET STREET WILMINGTON, DELAWARE 19898	7. Total number of applications and patents involved: 1  8. Total fee (37 CFR 3.41)
10. Statement and signature.  To the best of my knowledge and belief, the foregoing is of the original document.	nformation is true and correct and any attached copy is a true cop
CAROL REEDER SENIOR LEGAL CLERK	Signature Date RECEIV

Total number of pages comprising cover shoeth 3 1200

#### ASSIGNMENT

We, the undersigned

FISH, Robert Benham, Jr. and WONG, Chun Sing

Hereby declare that

We are the true and first inventors of an invention relating to

NON-MASSING TOUGHENERS FOR POLYAMIDES

which is disclosed in PCT International Application, PCT/CA98/00875 filed the 17TH day of SEPTEMBER, 1998 (Case No. DC-4511); in which the United States of America is a designated state for Letters Patent; and, for valuable consideration, the receipt and adequacy of which is hereby acknowledged and in fulfillment of our pre-existing obligation of assignment, we hereby sell, assign, and transfer unto E. I. du Pont de Nemours and Company, a corporation organized and existing under the laws of the State of Delaware in the United States of America and having its principal place of business at Wilmington, Delaware, hereinafter referred to as the assignee, the entire right, title, and interest in and to the aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, and the entire right, title and interest in and to any and all our inventions, whether joint or sole, disclosed in the aforesaid application for Letters Patent, and in and to any and all applications for Letters Patent for any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country whatsoever, with the sole right to file such applications in its name or ours, including the sole right to file such applications under the aforesaid International Convention, together with the sole right to have said patents granted in its name or ours and to enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, and hereby agree, whenever requested, to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to us respecting said inventions, to testify in any legal proceeding, to execute all applications, papers or instruments necessary or required by said assignee, its successors, assigns and legal representatives to carry into effect any of the provisions of this instrument, and generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain and enforce proper patent protection for said inventions in any and all countries.

FISH, Robert Benham, Jr.

WONG, Chun Sing DATE: Hug 17, 2000

PTO/SB/17 (10-03)
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$)	130.0

spond to a collection of init	intration unless it displays a valid Olyip Control Humber.				
Complete if Known					
Application Number	09/530673				
Filing Date	June 21, 2000				
First Named Inventor Chun Sing Wong Et. Al.					
Examiner Name	M. Bagwell				
Art Unit	1711				
Attorney Docket No.	DC4511				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None			ONAL		S	
Deposit Account:	<u>Large</u>		Small			
Donosit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	• •	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name E. I. du Pont de Nemours and Company	1053	130	1053	130	Cover sheet Non-English specification	
The Director is authorized to: (check all that apply)		2,520	1812		For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)			4005	4 0 400	Examiner action	-
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	<b></b>
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	<u> </u>
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	<b> </b>
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1301	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid		480	2502		Design issue fee	
Independent -20 A	1503	640	2503		Plant issue fee	400.00
Claims Multiple Dependent YES    X   86   =	1460	130	1460		Petitions to the Commissioner	130.00
	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	<del></del>
Code (\$) Code (\$)	8021	40	802°	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	•	
	Other	fee (sp	ecify) _		- ··	
SUBTOTAL (2) (\$) 0.00	*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	130.00

SUBMITTED BY (Complete (if applicable))						
Name (Print/Type)	Robert B. Stevenson	Registration No. (Attorney/Agent)	26039	Telephone	302-992-6824	
Signature	Robert B Stevenson			Date	11/24/03	

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on 11/24/03 Date

Jeanette Hancock

Typed or printed name of person signing Certificate

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09/530673 (U.S. Patent 6,331,592) - DC4511
Petition for Correction of Inventorship of Patent
Statement of Non-Deceptive Intent by Person Being Added by Petition

to Change Inventorship in Patent

Statement Agreeing to Change of Inventorship in Patent by Correctly Named Inventors

Consent of Assignee to Change of Inventorship in Patent

Statement Under 37 CFR 3.73(b) and Notice of Recordation of Assignment

Fee Transmittal and Postcard

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.